

JUL 31 2007

PTO/SB/97 (08-08)

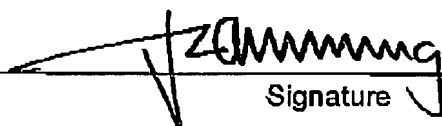
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302-992-5877

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10/782,357

UC0409USNA

Information Disclosure Statement (2 pages)

PTO/SB/08A (1 page)

PTO/SB/08b (1 page)

Thelakkat, M., et al., "Synthesis and Properties of Novel Hole Transport Materials for Electroluminescent Devices" in Macromolecular Symposia, vol. 125, pages 157-164, 1997 (8 pages)

Page 1 of 13

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF:

NORMAN HERRON ET. AL.

CASE NO.: UC0409USNA

APPLICATION NO.: 10/782,357

CONFIRMATION NO.: 4173

GROUP ART UNIT: 1774

EXAMINER: Camie S. Thompson

FILED: February 19, 2004

FOR: COMPOSITIONS COMPRISING NOVEL COMPOUNDS AND  
ELECTRONIC DEVICES MADE WITH SUCH COMPOSITIONS

INFORMATION DISCLOSURE STATEMENT

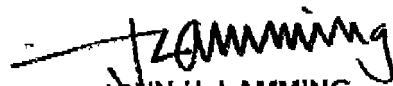
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with 37 C.F.R. §§1.97 and 1.98, Applicants bring to the attention of the U.S. Patent and Trademark Office the information listed on the enclosed PTO/SB/08A and/or PTO/SB/08B forms. A copy of the information, if required, is also enclosed (such information includes a copy of *Macromolecular Symposia* 125, 157-164 [1997]). Consideration of the information is requested under 37 C.F.R. § 1.56 and this information is submitted in accord with the provisions of §1.97(c): after the period specified in §1.97(b) but before the mailing of a Final Office Action, or a Notice of Allowance, or any other action that closes prosecution.

The PTO is authorized to charge the fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 04-1928 (E. I. du Pont de Nemours and Company) in order to complete the requirements for consideration of this Information Disclosure Statement.

Respectfully submitted,



JOHN H. LAMMING  
Attorney for Applicants  
Registration No.: 34,857  
Telephone: 302-992-5877  
Facsimile: 302-892-1026

Dated: July 31, 2007

PTO/SB/08A (04-07)

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

|       |   |    |   |
|-------|---|----|---|
| Sheet | 1 | of | 1 |
|-------|---|----|---|

**Complete if Known**

|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/782357         |
| Filing Date            | February 19, 2004 |
| First Named Inventor   | NORMAN HERRON     |
| Group Art Unit         | 1774              |
| Examiner Name          | Camie S. Thompson |
| Attorney Docket Number | UC0409USNA        |

## U.S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

[illegible]

Examiner  
Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE  
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(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

|                        |                       |
|------------------------|-----------------------|
| Application Number     | 10/782357             |
| Filing Date            | February 19, 2004     |
| First Named Inventor   | Norman Herron, et al. |
| Art Unit               | 1774                  |
| Examiner Name          | Camle S. Thompson     |
| Attorney Docket Number | UC0409USNA            |

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup>           |
|--------------------|-----------------------|---|--------------------------|
|                    | 1                     | THELAKKAT, M. ET AL., "Synthesis and Properties of Novel Hole Transport Materials for Electroluminescent Devices" in Macromolecular Symposia, vol. 125, 1997, pages 157-164, Wiley VCH, Weinheim, Germany, ISSN 1022-1360.                                      | <input type="checkbox"/> |
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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